

addressed to:

#### SUPPLEMENTAL DECLARATION

Atty Dkt. YOR920030623US1 (8728-671)

AS A BELOW NAMED INVENTOR, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe that I am the original, first and sole (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below), of the subject matter which is claimed and for which a patent is sought on the invention entitled:

critica.				
TITLE:	METHOD OF FORM	ING QUANTUM DOTS AT PRE	EDETERMINED POSITIONS OF A SUI	BSTRATE
the spec	ification of which either is	attached hereto or indicates an att	torney docket no. YOR920030623US1 (8	( <b>728-671)</b> , or:
O was f	iled in the U.S. Patent & T	rademark Office on February 13.	<u>, 2004</u> and assigned Serial No. <u>10/779,457</u>	<u>7</u> ,
☐ and (	(if applicable) was amende	d on		,
claims, a patental hereby o or inven United S	as amended by any amenda bility and to the examination claim foreign priority bene- ator's certificate, or §365(a) States, listed below and have	ment referred to above. I acknowl n of this application in accordance fits under Title 35, U.S. Code §119 of any PCT international applica	nts of the above-identified specification, in ledge the duty to disclose information whi e with Title 37 of the Code of Federal Reg 9(a)-(d) or §365(b) of any foreign applica- ation which designated at least one country gen applications for patent or inventor's cer li:	ich is material to gulations §1.56. I tion(s) for patent y other than the
Priority	<u>/ Claimed:</u>	·		
(Applicat	tion Number)	(Country)	(Day/Month/Year filed)	
(Application	tion Number)	(Country)	(Day/Month/Year filed)	<del></del>
United S below a PCT Int duty to	States provisional application, insofar as the subject of the ernational application (s) in disclose information mater available between the file	ion(s), or §365(c) of any PCT Int matter of each of the claims of the in the manner provided by the first rial to patentability as defined in	20 of any United States application(s), of ternational application designating the Units application is not disclosed in the prior paragraph of Title 35, U.S. Code, §112, I Title 37, The Code of Federal Regulation and the national or PCT international for the code of Federal Regulation and the national or PCT international for P	nited States, listed r United States or I acknowledge the is, §1.56(a) which
(Application	tion Serial Number)	(Filing Date)	(STATUS: patented, pending, abana	loned)
	I hereby appoint	the practitioners associated with	the Customer Number 46069 to prosecut	te this application

Frank Chau, Esq. F. CHAU & ASSOCIATES, LLC 130 Woodbury Road Woodbury, New York 11797

and to transact all business in the U.S. Patent and Trademark Office connected therewith and with any divisional, continuation, continuation-in-part, reissue or re-examination application, with full power of appointment and with full power to substitute an associate attorney or agent, and to receive all patents which may issue thereon, and request that all correspondence be

Tel.: 516-692-8888

Page 1 of 2

I HEREBY DECLARE that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 U.S. Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF FIRST OR SOLE INVENT	OR: MARTIN KAMMLER	Citizenship <u>GERMANY</u>
Inventor's signature: Mon Thic	16	Date: 07-01-2005
Residence & Post Office Address:	Goerlitzer Str. 47 01099 Dresden Germany	
FULL NAME OF SECOND INVENTOR: <u>F</u>	RANCES M. ROSS	_ Citizenship <u>UNITED KINGDOM</u>
Inventor's signature:	·	Date:
Residence & Post Office Address:	27 Lindstrom Road, Apartment 3C, Stamford Connecticut	
FULL NAME OF THIRD INVENTOR: <u>MA</u>	RK REUTER	Citizenship <u>USA</u>
Inventor's signature:		Date:
Residence & Post Office Address:	1 O'Connor Court Montrose New York	
		-
FULL NAME OF FOURTH INVENTOR: <u>R</u>	OBERT HULL	Citizenship <u>UNITED KINGDOM</u>
Inventor's signature:		Date:
Residence & Post Office Address:	2732 Graigs Store Road Afton, Virginia 22920	·
FULL NAME OF FIFTH INVENTOR: <u>YUI</u>	RII VLASOV	Citizenship <u>RUSSIA</u>
Inventor's signature:		Date:
Residence & Post Office Address:	89 Edgemont Road Katonah New York 10536	

Page 2 of 3

FULL NAME OF SIXTH INVENTOR: SHAREE McNAB  Inventor's signature:		•	
FULL NAME OF SEVENTH INVENTOR	: JOHN C. BEAN	Citizenship <u>USA</u>	
Inventor's signature:		Date:	
Residence & Post Office Address:	480 Lego Drive Charolottesville, Virginia 22911		
FULL NAME OF EIGHTH INVENTOR:	THOMAS VANDERWELDE	Citizenship <u>USA</u>	
Inventor's signature:		Date:	
Residence & Post Office Address:	207 Sunset Avenue Charolottesville, Virginia 22903		

## SUPPLEMENTAL DECLARATION

PTO/SB/0 (6/95)
SEP 9.2 2005

application:

(Application Serial Number)

Atty Dkt. YOR920030623US1 (8728-671)

(STATUS: patented, pending, abandoned)

AS A BELOWARAMED INVENTOR, I hereby declare that:

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I believe that I am the original, first and sole (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below), of the subject matter which is claimed and for which a patent is sought on the invention entitled:

# TITLE: METHOD OF FORMING QUANTUM DOTS AT PREDETERMINED POSITIONS OF A SUBSTRATE the specification of which either is attached hereto or indicates an attorney docket no. YOR920030623US1 (8728-671), or: O was filed in the U.S. Patent & Trademark Office on February 13, 2004 and assigned Serial No. 10/779,457, and (if applicable) was amended on \_\_\_\_\_ I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability and to the examination of this application in accordance with Title 37 of the Code of Federal Regulations §1.56. I hereby claim foreign priority benefits under Title 35, U.S. Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below any foreign applications for patent or inventor's certificate having a filing date before that of the application on which priority is claimed: Priority Claimed: (Application Number) (Country) (Day/Month/Year filed) (Application Number) (Day/Month/Year filed) (Country) I hereby claim the benefit under Title 35, U.S. Code, §120 of any United States application(s), or §119(e) of any United States provisional application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application(s) in the manner provided by the first paragraph of Title 35, U.S. Code, §112, I acknowledge the duty to disclose information material to patentability as defined in Title 37, The Code of Federal Regulations, §1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this

I hereby appoint the practitioners associated with the <u>Customer Number 46069</u> to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith and with any divisional, continuation, continuation-in-part, reissue or re-examination application, with full power of appointment and with full power to substitute an associate attorney or agent, and to receive all patents which may issue thereon, and request that all correspondence be addressed to:

(Filing Date)

FULL NAME OF FIRST OR SOLE INVENTO	DR: MARTIN KAMMLER	Citizenship <u>GERMANY</u>
Inventor's signature:		Date:
Residence & Post Office Address:	Goerlitzer Str. 47 01099 Dresden Germany	
FULL NAME OF SECOND INVENTOR: FR Inventor's signature: FR	ANCES M. ROSS	Citizenship <u>UNITED KINGDON</u> Date: <u>30 June 2005</u>
Residence & Post Office Address:	27 Lindstrom Road, Apartment 3C, Stamford Connecticut	
FULL NAME OF THIRD INVENTOR: MAR Inventor's signature: Residence & Post Office Address:	1 O'Connor Court Montrose New York	Citizenship <u>USA</u> Date: <u>6/30/05</u>
FULL NAME OF FOURTH INVENTOR: _RO	DBERT HULL	_Citizenship <u>UNITED KINGDOM</u> _Date:
Residence & Post Office Address:	2732 Graigs Store Road Afton, Virginia 22920	
FULL NAME OF FIFTH INVENTOR YURI Inventor's signature:	II VLASOV	Citizenship <u>RUSSIA</u> Date: 6/30/05
Residence & Post Office Address:	89 Edgemont Road Katonah New York 10536	

FULL NAME OF SIXTH INVENTOR: SHAREE McNAB		Citizenship <u>NEW ZEALAND</u>	
Inventor's signature:	Date:		
Residence & Post Office Address:	12 Huntsbury Avenue Huntsbury Christchurch, New Zealand		
FULL NAME OF SEVENTH INVENTOR	: JOHN C. BEAN	Citizenship <u>USA</u>	
Inventor's signature:		Date:	
Residence & Post Office Address:	480 Lego Drive Charolottesville, Virginia 22911		
FULL NAME OF EIGHTH INVENTOR:	THOMAS VANDERWELDE	Citizenship <u>USA</u>	
Inventor's signature:		Date:	
Residence & Post Office Address:	207 Sunset Avenue Charolottesville, Virginia 22903		

### SUPPLEMENTAL DECLARATION

Atty Dkt. YOR920030623US1 (8728-671)

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	<b>O</b> /		ted below), or an original, first and joint inventor d for which a patent is sought on the invention
TITLE: ME	THOD OF FORMING QUAI	NTUM DOTS AT PREDETER	RMINED POSITIONS OF A SUBSTRATE
the specification	of which either is attached he	ereto or indicates an attorney d	ocket no. YOR920030623US1 (8728-671), or:
O was filed in the	ne U.S. Patent & Trademark (	Office on February 13, 2004 a	and assigned Serial No. 10/779,457,
and (if applied	cable) was amended on		
claims, as amendate patentability and hereby claim for or inventor's cerunited States, li	ded by any amendment referred to the examination of this appreign priority benefits under Tetificate, or §365(a) of any PC	ed to above. I acknowledge the plication in accordance with Title 35, U.S. Code §119(a)-(d) T international application what tified below any foreign application	the above-identified specification, including the e duty to disclose information which is material to little 37 of the Code of Federal Regulations §1.56. If or §365(b) of any foreign application(s) for patent ich designated at least one country other than the cations for patent or inventor's certificate having a
Priority Claim	<u>ed</u> :		
(Application Num	ber)	(Country)	(Day/Month/Year filed)
(Application Num	iber)	Ent. Country) (Court )	
United States probelow and, inso PCT Internation duty to disclose	rovisional application(s), or § far as the subject matter of earlial application(s) in the manner information material to pater	365(c) of any PCT Internation ach of the claims of this applied provided by the first paragraph ability as defined in Title 37.	ny United States application(s), or §119(e) of any nal application designating the United States, listed cation is not disclosed in the prior United States or aph of Title 35, U.S. Code, §112, I acknowledge the , The Code of Federal Regulations, §1.56(a) which e national or PCT international filing date of this
(Application Serie	al Number) (F	Tiling Date)	(STATUS: patented, pending, abandoned)
continuation-in-	all business in the U.S. Patent part, reissue or re-examination	and Trademark Office connec n application, with full power	stomer Number 46069 to prosecute this application ted therewith and with any divisional, continuation of appointment and with full power to substitute are thereon, and request that all correspondence has

addressed to:

Frank Chau, Esq. F. CHAU & ASSOCIATES, LLC 130 Woodbury Road 

Tel.: 516-692-8888

FULL NAME OF FIRST OR SOLE INVE	NTOR: MARTIN KAMMLER	Citizenship <u>GERMANY</u>
Inventor's signature:		Date:
Residence & Post Office Address:	Goerlitzer Str. 47 01099 Dresden Germany	
FULL NAME OF SECOND INVENTOR:		
Inventor's signature:		Date:
Residence & Post Office Address:	27 Lindstrom Road, Apartment 3C, Stamford Connecticut	
FULL NAME OF THIRD INVENTOR: _N Inventor's signature: Residence & Post Office Address:		
FULL NAME OF FOURTH INVENTOR: Inventor's signature: Residence & Post Office Address:	ROBERT HULL  Craigs 2732 Graigs Store Road	_Citizenship <u>UNITED KINGDOM</u> _Date: <u>072503</u>
FULL NAME OF FIFTH INVENTOR: <u>Y</u>	Afton, Virginia 22920  URII VLASOV	Citizenship <u>RUSSIA</u>
Inventor's signature:		Date:
Residence & Post Office Address:	89 Edgemont Road Katonah New York 10536	

FULL NAME OF SIXTH INVENTOR: SHAREE McNAB		Citizenship <u>NEW ZEALAND</u>	
Inventor's signature:	Date:		
Residence & Post Office Address:	12 Huntsbury Avenue Huntsbury Christchurch, New Zealand		
FULL NAME OF SEVENTH INVENTOR	R: <u>JOHN C. BEAN</u>	Citizenship <u>USA</u>	
Inventor's signature:		Date:	
Residence & Post Office Address:	480 Lego Drive Charolottesville, Virginia 22911		
FULL NAME OF EIGHTH INVENTOR:	THOMAS VANDERWELDE	Citizenship <u>USA</u>	
Inventor's signature:	- E	Date:	
Residence & Post Office Address:	207 Sunset Avenue Charolottesville, Virginia 22903		

PTO/SB/01 (6/95)

**Priority Claimed:** 



#### SUPPLEMENTAL DECLARATION

Atty Dkt. YOR920030623US1 (8728-671)

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# METHOD OF FORMING QUANTUM DOTS AT PREDETERMINED POSITIONS OF A SUBSTRATE TITLE: the specification of which either is attached hereto or indicates an attorney docket no. YOR920030623US1 (8728-671), or: O was filed in the U.S. Patent & Trademark Office on February 13, 2004 and assigned Serial No. 10/779,457, and (if applicable) was amended on \_\_\_\_\_\_ I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to

patentability and to the examination of this application in accordance with Title 37 of the Code of Federal Regulations §1.56. I hereby claim foreign priority benefits under Title 35, U.S. Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below any foreign applications for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

(Application Number)	(Country)	(Day/Month/Year filed)
(Application Number)	(Country)	(Day/Month/Year filed)
United States provisional application below and, insofar as the subject in PCT International application(s) in duty to disclose information materials.	on(s), or §365(c) of any PCT Int natter of each of the claims of th the manner provided by the first ial to patentability as defined in	20 of any United States application(s), or §119(e) of any ernational application designating the United States, listed is application is not disclosed in the prior United States or paragraph of Title 35, U.S. Code, §112, I acknowledge the Title 37, The Code of Federal Regulations, §1.56(a) which and the national or PCT international filing date of this
(Application Serial Number)	(Filing Date)	(STATUS: patented, pending, abandoned)

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FULL NAME OF FIRST OR SOLE INVENTO	JR: MARTIN KAMMLER	Citizenship <u>GERMANY</u>
Inventor's signature:		Date:
Residence & Post Office Address:	Goerlitzer Str. 47 01099 Dresden Germany	
FULL NAME OF SECOND INVENTOR: _FF		
		Date:
Residence & Post Office Address:	27 Lindstrom Road, Apartment 3C, Stamford Connecticut	
FULL NAME OF THIRD INVENTOR:MAI Inventor's signature:Residence & Post Office Address:	•	-
FULL NAME OF FOURTH INVENTOR: RO	OBERT HULL	_Citizenship <u>UNITED KINGDOM</u>
Inventor's signature:		
Residence & Post Office-Address:	2732 Graigs Store Road Afton, Virginia 22920	
FULL NAME OF FIFTH INVENTOR: <u>YUR</u>	II VLASOV	Citizenship <u>RUSSIA</u>
Inventor's signature:		Date:
Residence & Post Office Address:	89 Edgemont Road	

Katonah New York 10536

FULL NAME OF SIXTH INVENTOR: SHAREE McNAB		Citizenship <u>NEW ZEALAND</u>	
Inventor's signature:	V	_ Date: $\frac{6/29/2005}{}$	
· ·	12 Huntsbury Avenue Huntsbury Christchurch, New Zealand	,	
FULL NAME OF SEVENTH INVENTOR: _J	OHN C. BEAN	Citizenship <u>USA</u>	
Inventor's signature:		-	
niventoi s signature.		Date:	
Residence & Post Office Address:	480 Lego Drive Charolottesville, Virginia 22911		
FULL NAME OF EIGHTH INVENTOR: <u>TH</u>	OMAS VANDERWELDE	Citizenship <u>USA</u>	
Inventor's signature:		Date:	
Residence & Post Office Address:	207 Sunset Avenue Charolottesville, Virginia 22903		

P/01 (6/95)

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rity Claimed:			
lication Number)	(Country)	(DayMonthYear filed)	
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NAME OF FIRST OR SOLE INVE	NTOR: MARTIN KAMMLER	Citizenship <u>GERMANY</u>
or's signature:		Date:
ence & Post Office Address:	Goerlitzer Str. 47 01099 Dresden Germany	
	FRANCES M. ROSS	
ence & Post Office Address:	27 Lindstrom Road, Apartment 3C, Stamford Connecticut	,
	MARK REUTER	Citizenship <u>USA</u> Date:
lence & Post Office Address:	1 O'Connor Court Montrose New York	
	R: ROBERT HULL	Citizenship <u>UNITED KINGDOM</u>
dence & Post Office Address:	2732 Graigs Store Road Afton, Virginia 22920	
L NAME OF FIFTH INVENTOR:	YURII VLASOV	Citizenship <u>RUSSIA</u>
intor's signature:		Date:
idence & Post Office Address:	89 Edgemont Road Katonah New York 10536	

NAME OF SIXTH INVENTOR: _SHAREE McNAB  or's signature:		Citizenship <u>NEW ZEALAND</u> Date:
NAME OF SEVENTH INVENTO	R: JOHN C. BEAN	Citizenship <u>USA</u>
or's signature:	L Ben	Date: 8/15/05
ence & Post Office Address:	480 Lego Drive Charolottesville, Virginia 22911	. * *
NAME OF EIGHTH INVENTOR:	THOMAS VANDERVELDE	Citizenship <u>USA</u>
or's signature:		Date:
ence & Post Office Address:	207 Sunset Avenue Charolottesville, Virginia, 22003	

Atty Dkt. YOR920030623US1 (8728-671)

(STATUS: patented, pending, abandoned)

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Inventor's signature:		Date:
Residence & Post Office Address:	Goerlitzer Str. 47 01099 Dresden Germany	
FULL NAME OF SECOND INVENTOR: <u>FR</u> Inventor's signature:		
Residence & Post Office Address:		
FULL NAME OF THIRD INVENTOR: MARK REUTER  Inventor's signature:		
	1 O'Connor Court Montrose New York	
FULL NAME OF FOURTH INVENTOR: <u>ROBERT HULL</u>		Citizenship <u>UNITED KINGDOM</u>
Inventor's signature:		Date:
Residence & Post Office Address:	2732 Graigs Store Road Afton,-Virginia 22920	
FULL NAME OF FIFTH INVENTOR: <u>YUR</u> I		
Residence & Post Office Address:	89 Edgemont Road	

Katonah New York 10536

FULL NAME OF SIXTH INVENTOR: SHAREE McNAB		Citizenship <u>NEW ZEALAND</u> Date:	
Inventor's signature:			
Residence & Post Office Address:	12 Huntsbury Avenue Huntsbury Christchurch, New Zealand		
FULL NAME OF SEVENTH INVENTOR	: JOHN C. BEAN	Citizenship <u>USA</u>	
Inventor's signature:		Date:	
Residence & Post Office Address:	480 Lego Drive Charlottesville, Virginia 22911		
FULL NAME OF EIGHTH INVENTOR:		Citizenship <u>USA</u>	
Inventor's signature:	- Vallane	Date: 7/11/05	
Residence & Post Office Address:	207 Sunset Avenue Charlottesville, Virginia 22903		



### LETTER OF AUTHORITY

l, Gerald Rosenthal, Vice President, Intellectual Property and Licensing of International Business Machines Corporation (IBM), a New York corporation, do hereby delegate the authority to approve and execute documents on behalf of IBM relating to proceedings in the Patent, Trademark Registration or Copyright Offices servicing any country or region of the world, or to related appeal proceedings, including, but not limited to: petitions; powers of Attorney, authorizations; verification; nominations of representatives; declarations; documents relating to maintenance and defense of the resulting industrial property rights; assignments of rights to apply for and acquire patents and trademark registrations; and evidence of such assignments; requests for the registration of patents as available for licensing; reports of inventions and petitions for waiver of patent rights to any department or agency of the United States Government; and, assignments, licenses and other instruments confirmatory of Government rights in patents and inventions, to Lynne D. Anderson. Program Manager, Patent Office Liaison.

Date:

Gerald Rosenthal

Vice President, Intellectual Property & Licensing International Business Machines Corporation